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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/624,297

07/22/2003

James W. O'Toole JR.

1004-120

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07/03/2008

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EXAMINER

ALMEIDA, DEVIN E

ART UNIT

PAPER NUMBER

2132

MAIL DATE

DELIVERY MODE

07/03/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/624,297	<b>Applicant(s)</b> O'TOOLE ET AL.	
	<b>Examiner</b> DEVIN ALMEIDA	<b>Art Unit</b> 2132	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 April 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 37,38,43-47,49 and 52-54 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 53 and 54 is/are allowed.
- 6) ☒ Claim(s) 37,38,43-47,49 and 52 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

This action is in response to the papers filed 4/15/2008. A response to the argument with respect to the claims is address here. If the applicant would still like to request an interview please call or fax an interview request form to the examiner listed in the Conclusion.

#### ***Response to Arguments***

Applicant's arguments that Chainer does not teach "implementing a recognition algorithm to identifying objects associated with the sensed images and embedding encrypted data information identifying the recognized object in the output signal" have been fully considered but they are not persuasive.

Chainer clearly teaches "implementing a recognition algorithm to identifying objects associated with the sensed images" in column 7 lines 52-61 i.e. "the system of the present invention could be easily used to identify animate objects (e.g., people, animals, etc.). In such a case, the animate object can be identified by taking a picture (e.g., obtaining an image) of the animate object while simultaneously obtaining other data (e.g., confirming biometric information such as iris/retinal shape, dental configuration, etc.). Further, the animate objects may carry a tag (e.g., a radio frequency (RF) tag, a magnetic tag, a Smart Card, a bar code, a biometric identifier, etc.). Thus, animate and inanimate objects can be easily authenticated with the present invention."

Chainer also teaches "embedding encrypted data information identifying the recognized object in the output signal" in figure 2 and 3 and column 4 line 30 – 46 i.e. Specifically, the tag ID information from the RF reader 103 is encoded along with a time

stamp (e.g., time of output of interrogation pulse and time of receipt of interrogation information from the tag(s)) and other desired information, such as the focal length of the camera 104, or a hash (e.g., possibly encrypted or non-encrypted) of the digital image acquired by the camera 104.”

Applicant's arguments that Grube does not teach “randomly generating a new encryption key for encrypting different portions of the video signal over time” have been fully considered but they are not persuasive. Peters teaches that the video signal is encrypted in figure 1 and paragraph 0031. Grube teach randomly generating a new encryption key for encrypting different portions of data over time. In column 1 line 51-67 i.e. “Because of security issues related with secure communication systems, encryption keys and/or encryption algorithms may be changed within the secure wireless communication system. To maintain security over a longer period of time, secure communication systems regularly change the active system encryption parameters, which include encryption algorithm and encryption key. Such a change may be monthly, daily, or even hourly depending on the nature of security desired.” Because these key are changed and used they had to have been generated in order to have been used.

***Claim Rejections - 35 USC § 103***

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

1. Claims 37, 38, and 52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Peters (U.S. 2003/0226023) in view of Chainer et al (U.S. Patent # 6,397,334).

With respect to claim 37, an apparatus to support surveillance, the apparatus comprising: a camera to generate a video signal that varies depending on sensed images (see Peters paragraph 0004); a memory device to store at least first and second encryption keys (see Peters paragraph 0032 i.e. Having encrypted both the image and the symmetric key, the image is then stored on some form of persistent storage and 0032 i.e. The encrypted symmetric key is preferably stored with the encrypted image, but alternatively may be separately stored (e.g., in a separate file)); means for encrypting the video signal using the first encryption key (see Peters figure 1 and paragraph 0031 i.e. then encrypts some part of that captured input with a symmetric key which has been generated or provided for use with this image file) and means for encrypting the first encryption key with the second encryption key (see Peters figure 1 and paragraph 0031 i.e. The symmetric key is then encrypted (Block 120), preferably using public key encryption) to produce an output signal including at least the encrypted video signal and the encrypted first encryption key (see Peters paragraph 0032 i.e. Having encrypted both the image and the symmetric key, the image is then stored on some form of persistent storage and 0032 i.e. The encrypted symmetric key is preferably stored with the encrypted image, but alternatively may be separately stored (e.g., in a separate file)). Peters does not teach means for identifying objects associated with the sensed images and embedding encrypted data information identifying the recognized object in the output signal wherein embedding encrypted data identifying the recognized object in the output signal includes: encrypting data identifying objects associated with the sensed images with a third key, the third key being distinct from the

Art Unit: 2132

first key so that a user, possessing only the third key but not the first key, can decrypt the data identifying objects without having the capability to decrypt the video signal; including the data encrypted with the third key in the output signal and wherein the means for identifying objects associated with the sensed images includes means for analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image.

Chainer teaches means for identifying objects associated with the sensed images and embedding encrypted data information identifying the recognized object in the output signal (see Chainer figure 2 and 3, column 4 line 30 – column 6 line 17 and column 7 lines 52-61) and wherein embedding encrypted data identifying the recognized object in the output signal includes: encrypting data identifying objects associated with the sensed images with a third key, the third key being distinct from the first key so that a user, possessing only the third key but not the first key, can decrypt the data identifying objects without having the capability to decrypt the video signal; and including the data encrypted with the third key in the output signal (see Chainer column 4 lines 40-46) wherein the means for identifying objects associated with the sensed images includes means for analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image (see Chainer column 7 lines 52-61). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains to have recognized object based on RFID tags, iris/retinal shape, dental configuration and other characteristic and transmit then in encrypted form to help the receiver authenticate

what he is looking at. One would be motivated to have sent sensor data encrypted to help the receiver authenticate what he is looking at (see Chainer column 1 lines 27-51).

With respect to claim 38, a computer program product including a computer-readable medium having instructions stored thereon for processing data information, such that the instructions, when carried out by a processing device, cause the processing device to perform the steps of: receiving a video signal that varies depending on sensed images (see Peters paragraph 0004); encrypting the video signal using a first key (see Peters figure 1 and paragraph 0031 i.e. then encrypts some part of that captured input with a symmetric key which has been generated or provided for use with this image file); encrypting the first key using a second key, the first and second key being different than each other (see Peters figure 1 and paragraph 0031 i.e. The symmetric key is then encrypted (Block 120), preferably using public key encryption); including at least the encrypted first key and encrypted video signal in the output signal (see Peters paragraph 0032 i.e. Having encrypted both the image and the symmetric key, the image is then stored on some form of persistent storage and 0032 i.e. The encrypted symmetric key is preferably stored with the encrypted image, but alternatively may be separately stored (e.g., in a separate file)).

Peters does not teach implementing a recognition algorithm to identify objects associated with the sensed images, and embedding encrypted data information identifying the recognized object in the output signal wherein embedding encrypted data identifying the recognized object in the output signal includes: encrypting data identifying objects associated with the sensed images with a third key, the third key

being distinct from the first key so that a user, possessing only the third key but not the first key, can decrypt the data identifying objects without having the capability to decrypt the video signal; including the data encrypted with the third key in the output signal and wherein the step for identifying objects associated with the sensed images includes analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image.

Chainer teaches means for identifying objects associated with the sensed images and embedding encrypted data information identifying the recognized object in the output signal (see Chainer figure 2 and 3, column 4 line 30 – column 6 line 17 and column 7 lines 52-61) and wherein embedding encrypted data identifying the recognized object in the output signal includes: encrypting data identifying objects associated with the sensed images with a third key, the third key being distinct from the first key so that a user, possessing only the third key but not the first key, can decrypt the data identifying objects without having the capability to decrypt the video signal; and including the data encrypted with the third key in the output signal (see Chainer column 4 lines 40-46) and wherein the step for identifying objects associated with the sensed images includes analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image (see Chainer column 7 lines 52-61). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains to have recognized object based on RFID tags, iris/retinal shape, dental configuration and other characteristic and transmit then in encrypted form to help the receiver authenticate what



he is looking at. One would be motivated to have sent sensor data encrypted to help the receiver authenticate what he is looking at (see Chainer column 1 lines 27-51).

With respect to claim 52, a method for generating an output signal from a video data acquisition system, the method comprising: receiving a video signal that varies depending on sensed images (see Peters paragraph 0004); encrypting the video signal using a first key (see Peters figure 1 and paragraph 0031 i.e. then encrypts some part of that captured input with a symmetric key which has been generated or provided for use with this image file); encrypting the first key using a second key (see Peters figure 1 and paragraph 0031 i.e. The symmetric key is then encrypted (Block 120), preferably using public key encryption); including at least the encrypted first key and encrypted video signal in the output signal (see Peters paragraph 0032 i.e. Having encrypted both the image and the symmetric key, the image is then stored on some form of persistent storage and 0032 i.e. The encrypted symmetric key is preferably stored with the encrypted image, but alternatively may be separately stored (e.g., in a separate file)).

Peters does not teach implementing a recognition algorithm to identify objects associated with the sensed images; and in response to recognizing an object, embedding encrypted data identifying the recognized object in the output signal; wherein embedding encrypted data identifying the recognized object in the output signal includes: encrypting data identifying objects associated with the sensed images with a third key, the third key being distinct from the first key so that a user, possessing only the third key but not the first key, can decrypt the data identifying objects without having the capability to decrypt the video signal; including the data encrypted with the third key

in the output signal wherein implementing the recognition algorithm to identify objects associated with the sensed images includes: analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image.

Chainer teaches means for identifying objects associated with the sensed images and embedding encrypted data information identifying the recognized object in the output signal (see Chainer figure 2 and 3, column 4 line 30 – column 6 line 17 and column 7 lines 52-61); wherein embedding encrypted data identifying the recognized object in the output signal includes: encrypting data identifying objects associated with the sensed images with a third key, the third key being distinct from the first key so that a user, possessing only the third key but not the first key, can decrypt the data identifying objects without having the capability to decrypt the video signal; and including the data encrypted with the third key in the output signal (see Chainer column 4 lines 40-46) and wherein implementing the recognition algorithm to identify objects associated with the sensed images includes: analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image (see Chainer column 7 lines 52-61). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains to have recognized object based on RFID tags, iris/retinal shape, dental configuration and other characteristic and transmit then in encrypted form to help the receiver authenticate what he is looking at. One would be motivated to have sent sensor data encrypted to help the receiver authenticate what he is looking at (see Chainer column 1 lines 27-51).

Claims 43-47 and 49 are rejected under 35 U.S.C. 103(a) as being unpatentable over Peters (U.S. 2003/0226023) in view of Chainer et al (U.S. Patent # 6,397,334) further in view of Grube et al (U.S. Patent # 5,517,568).

With respect to claim 43 Peters teaches, a method for generating an output signal from a video data acquisition system, the method comprising: receiving a video signal that varies depending on sensed images (see Peters paragraph 0004); encrypting the video signal using a first key (see Peters figure 1 and paragraph 0031 i.e. then encrypts some part of that captured input with a symmetric key which has been generated or provided for use with this image file); encrypting the first key using a second key (see Peters figure 1 and paragraph 0031 i.e. The symmetric key is then encrypted (Block 120), preferably using public key encryption); including at least the encrypted first key and encrypted video signal in the output signal (see Peters paragraph 0032 i.e. Having encrypted both the image and the symmetric key, the image is then stored on some form of persistent storage);

Peters does not teach implementing a recognition algorithm to identify objects associated with the sensed images; and in response to recognizing an object, embedding encrypted data information identifying the recognized object in the output signal and randomly generating a new encryption key for encrypting different portions of the video signal over time and wherein implementing the recognition algorithm to identify objects associated with the sensed images includes: analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image.

Chainer teaches means for identifying objects associated with the sensed images and embedding encrypted data information identifying the recognized object in the output signal (see Chainer figure 2 and 3, column 4 line 30 – column 6 line 17 and column 7 lines 52-61) and wherein implementing the recognition algorithm to identify objects associated with the sensed images includes: analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image (see Chainer column 7 lines 52-61). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains to have recognized object based on RFID tags, iris/retinal shape, dental configuration and other characteristic and transmit then in encrypted form to help the receiver authenticate what he is looking at. One would be motivated to have sent sensor data encrypted to help the receiver authenticate what he is looking at (see Chainer column 1 lines 27-51).

Grube teaches randomly generating a new encryption key for encrypting different portions of the video signal over time (see Grube column 1 line 51-67). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains to generating a new encryption key for encrypting different portions of the video signal over time to increase the security of the transfer by changing the encryption throughout the transfer. One would be motivated to have randomly generating a new encryption key for encrypting different portions of the video signal over time to further increase security (see Grube column 1 line 51-67).

With respect to claim 44, wherein embedding encrypted data information identifying the recognized object in the output signal includes: encrypting data identifying objects associated with the sensed images with a third key, the third key being distinct from the first key so that a user, possessing only the third key but not the first key, can decrypt the data identifying objects without having the capability to decrypt the video signal; and including the data encrypted with the third key in the output signal (see Chainer column 4 lines 40-46).

With respect to claim 45, Peters teaches an apparatus to support surveillance, the apparatus comprising: a camera to generate a video signal that varies depending on sensed images (see Peters paragraph 0004); a memory device to store at least first and second encryption keys (see Peters paragraph 0032 i.e. Having encrypted both the image and the symmetric key, the image is then stored on some form of persistent storage and 0032 i.e. The encrypted symmetric key is preferably stored with the encrypted image, but alternatively may be separately stored (e.g., in a separate file)); a processor that encrypts the video signal using the first encryption key (see Peters figure 1 and paragraph 0031 i.e. then encrypts some part of that captured input with a symmetric key which has been generated or provided for use with this image file), the processor encrypting the first encryption key with the second encryption key (see Peters figure 1 and paragraph 0031 i.e. The symmetric key is then encrypted (Block 120), preferably using public key encryption), the processor producing an output signal including at least the encrypted video signal and the encrypted first encryption key (see Peters paragraph 0032 i.e. Having encrypted both the image and the symmetric key,

the image is then stored on some form of persistent storage and 0032 i.e. The encrypted symmetric key is preferably stored with the encrypted image, but alternatively may be separately stored (e.g., in a separate file)).

Peters does not teach a recognition system to identify objects associated with the sensed images, the processor embedding encrypted data information identifying the recognized object in the output signal; an encryption key generator that randomly generates a new value for the first encryption key to uniquely encrypt different portions of the video signal over time and wherein implementing the recognition algorithm to identify objects associated with the sensed images includes: analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image.

Chainer teaches means for identifying objects associated with the sensed images and embedding encrypted data information identifying the recognized object in the output signal (see Chainer figure 2 and 3, column 4 line 30 – column 6 line 17 and column 7 lines 52-61) and wherein implementing the recognition algorithm to identify objects associated with the sensed images includes: analyzing one sensed image of the sensed images to identify a person associated with a pattern depicted in the one sensed image (see Chainer column 7 lines 52-61). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains to have recognized object based on RFID tags, iris/retinal shape, dental configuration and other characteristic and transmit then in encrypted form to help the receiver authenticate what he is looking at. One would be motivated to have

sent sensor data encrypted to help the receiver authenticate what he is looking at (see Chainer column 1 lines 27-51).

Grube teaches an encryption key generator that randomly generates a new value for the first encryption key to uniquely encrypt different portions of the video signal over time (see Grube column 1 line 51-67). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains to generating a new encryption key for encrypting different portions of the video signal over time to increase the security of the transfer by changing the encryption thought out the transfer. One would be motivated to have randomly generating a new encryption key for encrypting different portions of the video signal over time to further increase security (see Grube column 1 line 51-67).

With respect to claim 46, wherein the processor, when embedding encrypted data information identifying the recognized object in the output signal: encrypts data identifying objects associated with the sensed images with a third key, the third key being distinct from the first key so that a user, possessing only the third key but not the first key, can decrypt the data identifying objects without having the capability to decrypt the video signal; and includes the data encrypted with the third key in the output signal (see Chainer column 4 lines 40-46).

With respect to claim 47, wherein the apparatus further comprises: means for randomly generating a new encryption key for encrypting different portions of the video signal over time (see Grube column 1 line 51-67).

Art Unit: 2132

With respect to claim 49, wherein the instructions, when carried out by the processing device, cause the processing device to further perform the step of: randomly generating a new encryption key for encrypting different portions of the video signal over time (see Grube column 1 line 51-67).

***Allowable Subject Matter***

Claims 53 and 54 allowed.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Devin Almeida whose telephone number is 571-270-1018; FAX number is 571-270-2018.

The examiner can normally be reached on Monday-Thursday from 7:30 A.M. to 5:00 P.M. The examiner can also be reached on alternate Fridays from 7:30 A.M. to 4:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron, can be reached on 571-272-3799.

/Devin Almeida/  
Patent Examiner  
6/24/2008

/Gilberto Barron Jr/  
Supervisory Patent Examiner, Art Unit 2132